PATENT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Joseph Armstrong et al.

Art Unit: 2127

Serial No.: 09/939,235

Examiner: Kenneth Tang

Filed:

August 24, 2001

Atty. Docket No.: IBM/209

For:

YIELD ON MULTITHREADED PROCESSORS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner. A copy of the reference is enclosed.

The cited reference is a non-English language Japanese Patent Publication, and therefore a copy of the abstract to satisfy the 37 CFR 1.98(a)(3) requirement of providing a concise explanation as to the relevancy of the document is also enclosed.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested. This Statement is being filed after the receipt of a first Office Action on the Merits of the case. A check for \$180.00 pursuant to 37 CFR 1.97(c) is also enclosed herewith.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other

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charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

Date

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Sheet 1 (A) of 1 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. SUBSTITUTE FORM PTO-1449 (MODIFIED) PATENT AND TRADEMARK OFFICE IBM/209 (ROC920010252US1) 09/939,235 INFORMATION DISCLOSURE **APPLICANT** Confirmation No. STATEMENT BY APPLICANT 3405 William Joseph Armstrong et al. FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) August 24, 2001 2127 U.S. PATS PRIDE FILING DATE ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER DATÉ **PATENTEE CLASS SUBCLASS** INITIAL A.A A.B A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION** COUNTRY OR NUMBER DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) A.L JP2001188685 2001-07-10 Japan G06F9 46 Abstract A.M A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R A.S A.T

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)